

\mathbb{Z}_p -Extensions of Complex Multiplication Fields

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Suppose, K is a number field, and p is an odd prime. Setting $R_K = \mathfrak{O}_K[p^{-1}]$ with \mathfrak{O}_K being the ring of integers of K , the isomorphism classes of \mathbb{Z}_p -extensions of R_K form a \mathbb{Z}_p -module $H^1(R_K, \mathbb{Z}_p)$. Leopoldt's conjecture states that $H^1(R_K, \mathbb{Z}_p) \cong \mathbb{Z}_p^{r_2+1}$. In this paper we define a \mathbb{Z}_p -submodule $H(R_K, \mathbb{Z}_p)$ of $H^1(R_K, \mathbb{Z}_p)$ consisting of those classes of \mathbb{Z}_p -extensions having a normal basis over R_K . We prove for a complex multiplication field K that there is an isomorphism $H(R_K, \mathbb{Z}_p) \cong \mathbb{Z}_p^{r_2+1}$. © 1989 Academic Press, Inc.

INTRODUCTION

It is known that the isomorphism classes of \mathbb{Z}_p -extensions over a connected commutative ring R form a \mathbb{Z}_p -module $H^1(R, \Gamma)$ where Γ is a topological group isomorphic to the additive group of p -adic integers \mathbb{Z}_p . In this paper we define a \mathbb{Z}_p -submodule $H(R, \Gamma)$ consisting of those classes of \mathbb{Z}_p -extensions which, in some sense, have a normal basis over R . If K is a number field with ring of integers \mathfrak{O}_K then, setting $R_K = \mathfrak{O}_K[p^{-1}]$, one has $H(R_K, \Gamma) \subset H^1(R_K, \Gamma) \cong H^1(K, \Gamma) = H(K, \Gamma)$.

Leopoldt's conjecture states that there is a \mathbb{Z}_p -module isomorphism $H^1(R_K, \Gamma) \cong \mathbb{Z}_p^{r_2+1}$, where r_2 denotes the number of complex places of K .

In this paper we prove for a complex multiplication field K that there is a \mathbb{Z}_p -module isomorphism $H(R_K, \Gamma) \cong \mathbb{Z}_p^{r_2+1}$, where p is an odd prime.

If K is a complex multiplication field, there is a direct decomposition

$$H^1(R_K, \Gamma) = C(R_K, \Gamma) \oplus N(R_K, \Gamma)$$

with $C(R_K, \Gamma) = \{R_\infty \mid \bar{R}_\infty = R_\infty\}$ and $N(R_K, \Gamma) = \{R_\infty \mid \bar{R}_\infty = R_\infty^{-1}\}$, where $\bar{}$ denotes complex conjugation. In Section 4 we show

$$\mathbb{Z}_p\text{-rank } N(R_K, \Gamma) = r_2 \quad \text{and} \quad \mathbb{Z}_p\text{-rank } C(R_K, \Gamma) = 1 + \delta(K)$$

with $\delta(K)$ being the “defect of Leopoldt’s conjecture.” We show, that each Γ -extension without normal basis, which lies in $N(R_K, \Gamma)$, yields an ambiguous p -ideal class J of p -power order in the cyclotomic Γ -extension of K , satisfying $\bar{J} = J^{-1}$. We prove in 4.7 that the set of those ideal classes is finite, and we then conclude that the \mathbb{Z}_p -ranks of $N(R_K, \Gamma)$ and $N(R_K, \Gamma) \cap H(R_K, \Gamma)$ are the same.

In Section 1 we give definitions of the \mathbb{Z}_p -modules $H^1(R, \Gamma)$ and $H(R, \Gamma)$ for a connected commutative ring R , and we establish some lemmas.

In Section 2 we show that the cyclotomic \mathbb{Z}_p -field extension of a number field K can be viewed as an element of $H(R_K, \Gamma)$, called Z_∞ .

In Section 3 we show that, for a totally real field K , the correspondence $\omega \mapsto Z_\infty^\omega$ induces a \mathbb{Z}_p -module isomorphism $\mathbb{Z}_p \simeq H(R_K, \Gamma)$.

Finally, in Section 4 we prove the existence of a \mathbb{Z}_p -module isomorphism $\mathbb{Z}_p^{r_2+1} \simeq H(R_K, \Gamma)$ for a complex multiplication field K .

The results of this paper are announced in [11].

1. \mathbb{Z}_p -EXTENSIONS OF A CONNECTED COMMUTATIVE RING

In this section we fix notations and establish some lemmas used in the sequel. The results of this section are more or less well known.

Let p be a prime, and let Γ be a topological group isomorphic to the additive group of p -adic integers \mathbb{Z}_p . We write Γ multiplicatively and fix a topological generator τ of Γ . Defining

$$\Gamma_n := \Gamma / \Gamma^{p^n} \quad \text{and} \quad \tau_n := \tau \bmod \Gamma^{p^n},$$

the group Γ_n is cyclic of order p^n with generator τ_n for each $n \geq 0$.

Assume, R is a commutative ring and $E_n(R)$ is the R -algebra of all functions from Γ_n to R under pointwise multiplication and addition. A commutative ring extension R_n of R is called a Γ_n -extension, if Γ_n acts on R_n as a group of R -algebra automorphisms, such that the fixed ring $R_n^{\Gamma_n} = \{x \in R_n \mid \tau_n(x) = x\}$ equals R , and the map $h_n: R_n \otimes_R R_n \rightarrow E_n(R_n)$, defined by $h_n(x \otimes y)(\tau_n^i) = x\tau_n^i(y)$ for $x, y \in R_n$ and $i = 0, \dots, p^n - 1$, is bijective [1]. Examples of Γ_n -extensions are the Galois field extensions with Galois group Γ_n . If L is a Γ_n -field extension of a number field K and if L is unramified over K then the ring \mathfrak{O}_L of integers in L is a Γ_n -extension of the ring \mathfrak{O}_K [1, Remark 1.5(d)].

A further example of a Γ_n -extension of R is the R -algebra $E_n(R)$ when Γ_n acts on $E_n(R)$ via $(\sigma f)(\gamma) = f(\sigma^{-1}\gamma)$ for $\sigma, \gamma \in \Gamma_n$ and $f \in E_n(R)$. We call $E_n(R)$ the *trivial Γ_n -extension* of R .

Let R_∞ be a commutative ring extension of R together with an embedding $\Gamma \hookrightarrow \text{Aut}_R(R_\infty)$ such that the fixed ring R_∞^Γ equals R . Setting

$$R_n := R_\infty^{\Gamma_n} \quad \text{for } n \geq 0,$$

we obtain inclusions

$$R = R_0 \subset R_1 \subset \cdots \subset R_n \subset \cdots \subset R_\infty,$$

and Γ_n acts on R_n as a group of R -algebra automorphisms such that

$$\tau_m(x) = \tau(x) = \tau_n(x)$$

whenever $x \in R_m$ and $m \leq n$. We call R_∞ a Γ -extension (or \mathbb{Z}_p -extension) if $R_\infty = \bigcup_{n \geq 0} R_n$ and if each R_n is a Γ_n -extension of R .

We now assume that the base ring R is connected (i.e., R has no idempotents other than 0 and 1).

The following lemma follows from [9, p. 280].

1.1. LEMMA. *Let $R_\infty = \bigcup_n R_n$ be a Γ -extension of R . If R_n is a nontrivial Γ_n -extension of R for some $n > 0$ then R_{n+1} is a nontrivial $\langle \tau_{n+1}^{p^n} \rangle$ -extension of R_n . In particular, R_∞ is connected if and only if R_1 is connected. If R is a field, then R_∞ is a field if and only if R_1 is a field.*

1.2. EXAMPLE. *If $K_\infty = \bigcup_n K_n$ is a Γ -field extension of a number field K_0 then K_∞ is unramified at all primes not lying above $p\mathbb{Z}$ [15, Prop. 13.2]. Thus, letting $R_n = \mathfrak{O}_{K_n}[p^{-1}]$, then $R_\infty = \bigcup_n R_n$ is a connected Γ -extension of R_0 .*

Two Γ -extensions $R_\infty = \bigcup_n R_n$ and $R'_\infty = \bigcup_n R'_n$ of R are called *isomorphic*, if there is an R -algebra isomorphism

$$f: R_\infty \rightarrow R'_\infty \quad \text{such that } f \circ \tau = \tau \circ f.$$

If f is such an isomorphism, then $f_n = f|_{R_n}: R_n \rightarrow R'_n$ is a homomorphism of Γ_n -extensions (i.e., a Γ_n -linear R -algebra homomorphism) and hence an isomorphism [1, Theorem 3.4]. Conversely:

1.3. LEMMA. *Suppose $R_\infty = \bigcup_n R_n$ and $R'_\infty = \bigcup_n R'_n$ are two Γ -extensions of R . If there is an isomorphism $R_n \cong R'_n$ of Γ_n -extensions for each $n > 0$, then R_∞ and R'_∞ are isomorphic as Γ -extensions.*

Proof. We have to construct isomorphisms $f_n: R_n \xrightarrow{\sim} R'_n$ such that $f_n|_{R_{n-1}} = f_{n-1}$ for all $n > 0$. Let $g_n: R_n \xrightarrow{\sim} R'_n$ be an isomorphism of Γ_n -extensions for all $n > 0$.

If R_∞ is connected and if f_n is constructed, there is a unique $i \in \mathbb{Z}$ such that $f_n = \tau_n^i \circ (g_{n+1}|_{R_n})$ by [1, Cor. 3.2]. Setting $f_{n+1} = \tau_{n+1}^i \circ g_{n+1}$ the result follows.

If R_∞ is nonconnected, then either $R_n \cong E_n(R)$ for all n , or there is $c \in \mathbb{N}$ such that $R_n \cong E_n(R)$ for all $n \leq c$ and R_n is a nontrivial $\langle \tau_n^{p^c} \rangle$ -extension of R_c for all $n > c$ by Lemma 1.1.

Suppose c exists. Write $R_c = \bigoplus_i R e_i$ with $\{e_i \mid 0 \leq i \leq p^c - 1\}$ a set of pairwise orthogonal idempotents whose sum is 1, and $\tau_c(e_i) = e_{i+1}$ for all i . Note that since R is connected each idempotent of R_c , hence of R_∞ , is a sum of elements e_i . Now $\{g_n(e_0) \mid n \geq c\}$ is a finite subset of R'_c . Hence there is an idempotent $v_0 \in R'_c$ such that $v_0 = g_n(e_0)$ for infinitely many $n \geq c$. Replacing g_n by the restriction of g_{n+1} if necessary, we may assume $v_0 = g_n(e_0)$ for all $n \geq c$. The Γ -extension $e_0 R_\infty$ of $e_0 R_c \cong R$ is connected, hence there are isomorphisms $f_{n,0}: e_0 R_n \rightarrow v_0 R'_n$ with $f_{n,0}|_{R_{n-1}} = f_{n-1,0}$ for all $n > c$. Setting $f_{n,i}(e_i x) = \tau_n^i(f_{n,0}(e_0 \tau_n^{-i}(x)))$ for $x \in R_n$, $i = 0, \dots, p^c - 1$, we obtain an isomorphism of Γ_n -extensions $f_n: R_n \xrightarrow{\sim} R'_n$ defined by $f_n(x) = \sum_i f_{n,i}(e_i x)$, such that $f_n|_{R_{n-1}} = f_{n-1}$ for all $n > c$, and by restriction for all n .

Finally assume $R_n = E_n(R)$ for all n . Suppose we have constructed $f_n: R_n \xrightarrow{\sim} R'_n$ with $f_n|_{R_{n-1}} = f_{n-1}$. Define $e_0 \in E_n(R)$ by $e_0(\tau_n^j) = \delta_{0,j}$ (Kronecker symbol) and set $f_n(e_0) = v_0$. Choose $e_0^{(n+1)} \in E_{n+1}(R)$ and $v_0^{(n+1)} \in E_{n+1}(R')$ with $e_0 = \text{tr}(e_0^{(n+1)})$ and $v_0 = \text{tr}(v_0^{(n+1)})$ where tr denotes the trace map from R_{n+1} (resp. R'_{n+1}) to R_n (resp. R'_n). Then setting $f_{n+1}(\tau_{n+1}^i(e_0^{(n+1)})) = \tau_{n+1}^i(v_0^{(n+1)})$ for $i = 0, \dots, p^{n+1} - 1$ we obtain an isomorphism $f_{n+1}: R_{n+1} \xrightarrow{\sim} R'_{n+1}$ of Γ_{n+1} -extensions with $f_{n+1}|_{R_n} = f_n$. ■

It is known that the isomorphism classes of Γ_n -extensions form a commutative group

$$H^1(R, \Gamma_n) \cong H_{\text{et}}^1(R, \Gamma_n)$$

such that $E_n(R)$ represents the unit element [7; 14; 6, 2]. $H^1(R, \Gamma_n)$ has p^n -torsion and hence is a $(\mathbb{Z}/p^n\mathbb{Z})$ -module as follows from [7, Theorem 4].

The canonical projection $\Gamma_n \rightarrow \Gamma_m$ for $m \leq n$ induces a group homomorphism

$$\gamma_{m,n}: H^1(R, \Gamma_n) \rightarrow H^1(R, \Gamma_m), \quad R_n \mapsto R_n^{\langle \tau_n^{p^m} \rangle},$$

such that $\gamma_{j,n} = \gamma_{j,m} \circ \gamma_{m,n}$ for $j \leq m \leq n$ [1, 14]. We therefore have a \mathbb{Z}_p -module $\varprojlim H^1(R, \Gamma)$ with respect to the projective system

$(H^1(R, \Gamma_m), \gamma_{m,n})$. Letting $H^1(R, \Gamma)$ be the set of isomorphism classes of Γ -extensions of R we obtain a map

$$\varphi: H^1(R, \Gamma) \rightarrow \varprojlim H^1(R, \Gamma_n), \quad \bigcup_n R_n = R_\infty \mapsto (R_n)_{n \geq 0},$$

being injective because of Lemma 1.3. If $(R_n)_n \in \varprojlim H^1(R, \Gamma_n)$, one constructs an inductive system $\{R_m, \iota_{m,n}: R_m \hookrightarrow R_n \mid m \leq n\}$ starting with embeddings $\iota_{n-1,n}: R_{n-1} \xrightarrow{\sim} R_n^U \subset R_n$ where $U = \langle \tau_n^{p^{n-1}} \rangle$. Hence φ is bijective, and $H^1(R, \Gamma)$ is a \mathbb{Z}_p -module via φ .

For each $n > 0$ there is a subgroup $H(R, \Gamma_n)$ of $H^1(R, \Gamma_n)$ consisting of those classes of Γ_n -extensions which have a normal basis over R , and $\gamma_{m,n}$ induces a group homomorphism $H(R, \Gamma_n) \rightarrow H(R, \Gamma_m)$ for $m \leq n$ [14, 1]. Let $H(R, \Gamma)$ be the subset of $H^1(R, \Gamma)$ consisting of those classes of Γ -extensions $R_\infty = \bigcup_n R_n$ such that each R_n has a normal basis over R . Then $H(R, \Gamma)$ is a \mathbb{Z}_p -submodule of $H^1(R, \Gamma)$, since the projective limit is left exact.

Let $E_\infty(R)$ be the R -algebra of all continuous functions $f: \Gamma \rightarrow R$ where R is provided with the discrete topology. $E_\infty(R)$ represents the unit element in $H(R, \Gamma)$ when Γ acts on $E_\infty(R)$ via $(\sigma f)(\gamma) = f(\sigma^{-1}\gamma)$ for $\sigma, \gamma \in \Gamma$ and $f \in E_\infty(R)$. Given any Γ -extension $R_\infty = \bigcup_n R_n$ of R the map $h_\infty: R_\infty \otimes_R R_\infty \rightarrow E_\infty(R_\infty)$, $h_\infty(x \otimes y)(\gamma) = x\gamma(y)$ for $x, y \in R_\infty$, $\gamma \in \Gamma$, is bijective. If each R_n has a normal basis over R there is a Γ -linear R -module isomorphism $R_\infty \cong E_\infty(R)$; this can be verified with help of [10, Prop. 5.4] and is shown in [13] for infinite Galois field extensions.

The character groups

$$G_n = \hat{F}_n = \{\sigma \in \text{Hom}_{\text{cont}}(\Gamma, \mathbb{C}^*) \mid \sigma(z) = 1 \ \forall z \in \Gamma^{p^n}\}$$

with $\mathbb{C}^* = \mathbb{C} \setminus 0$ yield inclusions

$$1 = G_0 \subset G_1 \subset \dots \subset G_n \subset \dots \subset G_\infty = \text{Hom}_{\text{cont}}(\Gamma, \mathbb{C}^*)$$

and G_n is a cyclic group of order p^n for all n . We choose generators σ_n of G_n such that $\sigma_n^p = \sigma_{n-1}$ for all $n > 0$. Let $R[G_n] = \bigoplus_{i=0}^{p^n-1} R\sigma_n^i$ be the commutative group ring of G_n with coefficients in R .

Defining for $j \in \mathbb{Z}$ an R -algebra homomorphism

$$v_j: R[G_n] \rightarrow R[G_n] \quad \text{via } v_j(\sigma_n) = \sigma_n^j$$

we have $v_i \circ v_j = v_{ij}$ and $v_j = v_{j \bmod p^n}$ for all $i, j \in \mathbb{Z}$.

Because of the following lemma, proved in [10, Lemma 1.3], we may regard a normal basis of a Γ_n -extension R_n of R as a unit in the group ring $R_n[G_n]$.

1.4. LEMMA. Let R_n be a Γ_n -extension of R , and let $X = \sum_{i=0}^{p^n-1} X_i \sigma_n^{-i}$ be in $R_n[G_n]$. Then the following conditions are equivalent:

- (i) X_0, \dots, X_{p^n-1} is an R -basis of R_n over R and $X_i = \tau_n^i(X_0)$ for all i .
- (ii) X is a unit in $R_n[G_n]$ and $\tau_n(X) = \sigma_n X$, where τ_n acts on the coefficients of X and $\sigma_n X$ is the product of σ_n with X in $R_n[G_n]$.

If p is odd it easily follows from 1.4:

1.5. LEMMA. If Y is a normal basis of a Γ_n -extension R_n of R , then $X = (Y v_{-1}(Y)^{-1})^{(p^n+1)/2}$ is a normal basis of R_n over R satisfying

$$v_0(X) = 1 \quad \text{and} \quad X^{-1} = v_{-1}(X). \quad (*)$$

We call a normal basis satisfying the equations $(*)$ a *normalized normal basis*.

Since R is connected there is a separable closure R^{sep} . If p is a unit in R then R^{sep} contains a primitive p^n th root of unity ζ_n . Defining for $i \in \mathbb{Z}$ an R -algebra homomorphism

$$\chi_i: R[G_n] \rightarrow R(\zeta_n) \quad \text{via } \chi_i(\sigma_n) = \zeta_n^i$$

we have $\chi_i \circ v_j = \chi_{ij}$ for all $i, j \in \mathbb{Z}$.

If $x \in R[G_n]$ then $\chi_i(x)$ is the i th Fourier coefficient of x , and the following lemma is easily checked.

1.6. LEMMA. Let p be a unit in R . If $x = \sum_{i=0}^{p^n-1} x_i \sigma_n^{-i}$ in $R[G_n]$ then $x_i = p^{-n} \sum_{j=0}^{p^n-1} \chi_j(x) \zeta_n^{ij}$ for all $i = 0, \dots, p^n - 1$.

2. A NORMAL BASIS OF THE CYCLOTOMIC \mathbb{Z}_p -EXTENSION OF A NUMBER FIELD

Let K_0 be a number field, i.e., a finite field extension of the rationals \mathbb{Q} , and let $K_\infty = \bigcup_n K_n$ be the cyclotomic \mathbb{Z}_p -field extension of K_0 [15, Sect. 7.3]. For each n we denote by μ_{p^n} the group of the p^n th roots of unity in K^{sep} . Let $n_0 \in \mathbb{N}$ be maximal with respect to the property

$$\mu_{p^{n_0}} \subset K(\mu_p).$$

Let \mathfrak{O}_{K_n} be the ring of integers in K_n and set $Z_n = \mathfrak{O}_{K_n}[p^{-1}]$.

2.1. THEOREM. If p is odd, Z_n has a normalized normal basis $X^{(n)}$ over Z_0 for all $n > 0$ such that the Fourier coefficients $\chi_j(X^{(n)})$ are p^{n+n_0} th roots of unity for $j = 0, \dots, p^n - 1$.

Proof. We first prove that $Z_n(\mu_p)$ has a normalized normal basis over $Z_0(\mu_p)$ with Fourier coefficients being p^{n+n_0} th roots of unity. Let

$$M_n := \{m \in \mathbb{Z} \mid -(p^n - 1)/2 \leq m \leq (p^n - 1)/2\}$$

for $n \geq 0$. Let ζ_{n+n_0} be a primitive p^{n+n_0} th root of unity in $Z_n(\mu_p)$ such that

$$\tau_n(\zeta_{n+n_0}) = \zeta_{n+n_0}^{1+p^{n_0}}.$$

Set $\zeta_n = \zeta_{n+n_0}^{p^{n_0}}$.

Suppose $n \leq n_0$. Then define $X_k := p^{-n} \sum_{m \in M_n} \zeta_{n+n_0}^m \zeta_n^{km}$ for $k = 0, \dots, p^n - 1$. Setting

$$X = X^{(n)} = \sum_{k=0}^{p^n-1} X_k \sigma_n^{-k}$$

we obtain $\chi_j(X) = \zeta_{n+n_0}^j$ and $\chi_j(v_{-1}(X)) = \zeta_{n+n_0}^{-j}$ for $j = 0, \dots, p^n - 1$. Since $\chi_j(v_0(X)) = \chi_0(X) = 1$ for all j , Lemma 1.6 implies that X is normalized. Since $n \leq n_0$ we have $\tau_n(X_k) = X_{k+1}$ for all $k = 0, \dots, p^n - 1$, hence $\tau_n(X) = \sigma_n X$. Using Lemma 1.4 the assertion follows for $n \leq n_0$, and by induction on n we shall prove it for $n \geq n_0$.

Assume that $X^{(n)}$ is a normalized normal basis of $Z_n(\mu_p)$ over $Z_0(\mu_p)$ with Fourier coefficients being p^{n+n_0} th roots of unity, and assume $n > n_0$. Let $m \in M_{n+1}$. If p divides m then $m = pr$ for a unique $r \in M_n$ and we define

$$\hat{X}_m^{(n+1)} = \chi_r(X^{(n)}) =: \hat{X}_r^{(n)}.$$

If p does not divide m then $m = i(1 + p^{n_0})^{-l}$ for a unique $i \in M_{n_0}$ such that p does not divide i , and a unique $l \in L := \{0, \dots, p^{n+1-n_0} - 1\}$. We then define

$$\hat{X}_m^{(n+1)} = \zeta_{n+1+n_0}^{i(1+p^{n_0})(1+p^{n_0})^{-l}}.$$

Setting

$$X^{(n+1)} = \sum_{k=0}^{p^{n+1}-1} X_k^{(n+1)} \sigma_{n+1}^{-k} \quad \text{with} \quad X_k^{(n+1)} = p^{-(n+1)} \sum_{m \in M_{n+1}} \hat{X}_m^{(n+1)} \zeta_{n+1}^{km}$$

we have $\chi_j(X^{(n+1)}) = \hat{X}_j^{(n+1)}$ for all $j = 0, \dots, p^{n+1} - 1$ being p^{n+1+n_0} th roots of unity by definition and induction. $X^{(n+1)}$ is normalized by Lemma 1.6 since $\hat{X}_j^{(n+1)} \hat{X}_{-j}^{(n+1)} = 1$ for all j and $\hat{X}_0^{(n+1)} = 1$. It remains to check that $\tau_{n+1}(X_k^{(n+1)}) = X_{k+1}^{(n+1)}$ for $k = 0, \dots, p^{n+1} - 1$. By induction we have

$$\begin{aligned} \tau_{n+1} \left(\sum_{\substack{m \in M_{n+1} \\ p \mid m}} \hat{X}_m^{(n+1)} \zeta_{n+1}^{km} \right) &= \tau_n \left(\sum_{r \in M_n} \hat{X}_r^{(n)} \zeta_n^{kr} \right) = \sum_r \hat{X}_r^{(n)} \zeta_n^{(k+1)r} \\ &= \sum_{\substack{m \in M_{n+1} \\ p \mid m}} \hat{X}_m^{(n+1)} \zeta_{n+1}^{(k+1)m}. \end{aligned}$$

Finally, we compute

$$\begin{aligned}
 \tau_{n+1} \left(\sum_{\substack{m \in M_{n+1} \\ (p, m) = 1}} \hat{X}_m^{(n+1)} \zeta_{n+1}^{km} \right) &= \tau_{n+1} \left(\sum_{i \in M_{n_0}} \sum_{l \in L} \zeta_{n+1+n_0}^{i(1+lp^{n_0})(1+p^{n_0})^{-l+1}} \zeta_{n+1}^{k i(1+p^{n_0})^{-l}} \right) \\
 &= \sum_i \sum_l \zeta_{n+1+n_0}^{i(1+lp^{n_0})(1+p^{n_0})^{-l+1}} k i p^{n_0} (1+p^{n_0})^{-l+1} \\
 &= \sum_i \sum_l \zeta_{n+1+n_0}^{i(1+(l+1)p^{n_0})(1+p^{n_0})^{-l}} k i p^{n_0} (1+p^{n_0})^{-l} \\
 &= \sum_i \sum_l \zeta_{n+1+n_0}^{i(1+lp^{n_0})(1+p^{n_0})^{-l}} i p^{n_0} (1+p^{n_0})^{-l} k i p^{n_0} (1+p^{n_0})^{-l} \\
 &= \sum_i \sum_l \zeta_{n+1+n_0}^{i(1+lp^{n_0})(1+p^{n_0})^{-l}} \zeta_{n+1}^{i(k+1)(1+p^{n_0})^{-l}} \\
 &= \sum_{\substack{m \in M_{n+1} \\ (p, m) = 1}} \hat{X}_m^{(n+1)} \zeta_{n+1}^{(k+1)m}.
 \end{aligned}$$

It follows that $\tau_{n+1}(X_k^{(n+1)}) = X_{k+1}^{(n+1)}$ for all $k = 0, \dots, p^{n+1} - 1$. Thus $X^{(n)}$ is a normalized normal basis of $Z_n(\mu_p)$ over $Z_0(\mu_p)$ with the desired property for all $n > 0$.

Let \mathbf{G} be the Galois group of $Z_n(\mu_p)$ over Z_n . Then \mathbf{G} acts on the group ring $Z_n(\mu_p)[G_n]$ by acting on the coefficients. Let $Y = \text{Norm}_{\mathbf{G}}(X^{(n)})$. Then Lemma 1.4 guarantees that Y is a normalized normal basis of Z_n over Z_0 . The Fourier coefficients of Y are p^{n+n_0} th roots of unity, since this holds for $X^{(n)}$. ■

3. THE GROUP $H(R_K, \Gamma)$ FOR A TOTALLY REAL FIELD K

Let K be a totally real field; i.e., K is a number field and every embedding $K \hookrightarrow \mathbb{C}$ is real-valued, hence r_2 is zero for K . Let

$$R = R_0 = \mathfrak{O}_K[p^{-1}].$$

We denote by $K_\infty = \bigcup_n K_n$ the cyclotomic \mathbb{Z}_p -field extension of $K = K_0$ and set $Z_n = \mathfrak{O}_{K_n}[p^{-1}]$ for all n . Assume that p is odd.

3.1. THEOREM. *Let $R_\infty = \bigcup_n R_n$ be a connected Γ -extension of R such that R_n has a normal basis over R for all $n > 0$. Then there is an R -algebra isomorphism $R_n \cong Z_n$ for all $n > 0$.*

Proof. Assume that there is $n_1 > 0$ such that R_{n_1} is not isomorphic to Z_{n_1} as an R -algebra.

As in Section 2 let $n_0 \in \mathbb{N}$ be maximal such that $\mu_{p^{n_0}} \subset K(\mu_p)$. Choose an integer m_0 such that every prime ideal of $\mathfrak{O}_{K_{m_0}(\mu_p)}$ lying above $p\mathbb{Z}$ is totally ramified in $K_\infty(\mu_p)$ [8, Sect. 6, Lemma 4]. Choose $n \in \mathbb{N}$ such that

$$n > n_1 + c \quad \text{with} \quad c = n_0 + m_0.$$

Let $X = \sum_{i=0}^{p^n-1} X_i \sigma_n^{-i} \in R_n[G_n]$ be a normal basis of R_n over R . By 1.5 we may assume that X is normalized. Recall that $v_j(\sigma_n) = \sigma_n^j$ for $j \in \mathbb{Z}$. Let

$$Y := X v_{p^c+1}(X)^{-1}.$$

We think of R_∞ and Z_∞ as sitting in the same separable closure R^{sep} of R . We shall prove that there is an integer s with $(p, s) = 1$ such that

$$Y^s \in Z_{2n}[G_n]. \quad (*)$$

From (*) we get a contradiction in the following way: Since R_∞ and Z_∞ are both Γ -extensions there is $m \geq 0$ such that $Z_m = Z_{2n} \cap R_n = R_m$, thus $Y^s \in R_m[G_n]$ by (*). This yields $\tau_n^{p^m}(Y^s) = Y^s$, since the Galois group of R_n over R_m is generated by $\tau_n^{p^m}$. On the other hand, Lemma 1.4 implies

$$\tau_n^{p^m}(Y^s) = \tau_n^{p^m}(X v_{p^c+1}(X)^{-1})^s = (\sigma_n^{p^m} \sigma_n^{-p^m(p^c+1)} Y)^s = \sigma_n^{-sp^{m+c}} Y^s.$$

Since Y^s is a unit, it follows that $\sigma_n^{-sp^{m+c}} = 1$. By assumption we have $n_1 > m$, hence $n > n_1 + c > m + c$. Since $(p, s) = 1$ this implies $\sigma_n^{-sp^{m+c}} \neq 1$ and hence a contradiction. Thus Theorem 3.1 follows from (*).

It follows from Lemma 1.4 that $\tau_n(X^{p^n}) = (\sigma_n X)^{p^n} = X^{p^n}$, hence $X^{p^n} \in R[G_n]$ and so $Y^{p^n} \in R[G_n]$. In order to prove (*) it suffices to show that each Fourier coefficient

$$q_j = \chi_j(Y^{p^n}), \quad j = 0, \dots, p^n - 1,$$

is a root of unity in R^{sep} for the following reason. We have $q_j \in R(\zeta_n) \subset Z_n(\mu_p)$. If q_j is a root of unity and if the order of q_j is $s_j p^r$ with $r \in \mathbb{Z}$ and $(p, s_j) = 1$ then, setting $s = \prod_{j=0}^{p^n-1} s_j$, we obtain $\chi_j(Y^s) \in Z_{2n}(\mu_p)$ for $j = 0, \dots, p^n - 1$. Lemma 1.6 then implies $Y^s \in Z_{2n}(\mu_p)[G_n]$. Since $Z_{2n}(\mu_p) \cap R_n \subset Z_{2n}$ we get $Y^s \in Z_{2n}[G_n]$ and hence (*).

If x is an algebraic integer all whose conjugates have absolute value 1 in \mathbb{C} , then x is a root of unity [15, Lemma 1.6]. Since $K(\zeta_n)$ is a complex multiplication field it remains to prove $q_j \in \mathcal{O}_{K(\zeta_n)}$ and $|q_j| = q_j \bar{q}_j = 1$, where \bar{q}_j is the complex conjugate of q_j .

Let $\zeta_1 = \zeta_n^{p^{n-1}}$ and $\zeta_c = \zeta_n^{p^n-c}$. Then $K(\zeta_n)$ is a Galois field extension of $K(\zeta_1)$ with Galois group \mathbf{G} of order p^{n-m_0} . There is $\rho \in \mathbf{G}$ such that $\rho(x) = x$ for all $x \in K(\zeta_c)$ and

$$\rho(\zeta_n) = \zeta_n^{p^c+1}.$$

Setting $X^{p^n} = T = \sum_{i=0}^{p^n-1} T_i \sigma_n^{-i}$ with $T_i \in R$ we get $T^{-1} = v_{-1}(T) = \sum_i T_i \sigma_n^i$, since X is normalized. This implies $\chi_j(v_{p^c+1}(T)^{-1}) = \rho(\chi_j(T)^{-1}) = \rho(\chi_j(T))^{-1}$ and hence

$$q_j = \chi_j(T) \rho(\chi_j(T))^{-1} \quad \text{for all } j=0, \dots, p^n-1.$$

Since the coefficients T_i of T are in the totally real field K we have $\chi_j(T)^{-1} = \overline{\chi_j(T)}$ forcing $|\chi_j(T)| = 1$ and $|\rho(\chi_j(T))| = \rho(|\chi_j(T)|) = 1$, thus $|q_j| = 1$ for all $j=0, \dots, p^n-1$.

It remains to show that q_j is an algebraic integer. Let

$$\chi_j(T) \mathfrak{D}_{K(\zeta_n)} = \prod_{\mathfrak{p}} \mathfrak{p}^{\alpha(\mathfrak{p})}$$

with $\alpha(\mathfrak{p}) \in \mathbb{Z}$ and $\alpha(\mathfrak{p}) = 0$ for almost all \mathfrak{p} be the prime ideal decomposition of the fractional ideal $\chi_j(T) \mathfrak{D}_{K(\zeta_n)}$. If $\alpha(\mathfrak{p})$ is nonzero then \mathfrak{p} lies above $p\mathbb{Z}$ since $\chi_j(T)$ is a unit in $R(\zeta_n)$. We therefore have $\rho(\mathfrak{p}) = \mathfrak{p}$ since there is only one prime ideal above $\mathfrak{p} \cap \mathfrak{D}_{K(\zeta_n)}$ by definition of m_0 and $n > c$. It follows that $q_j \mathfrak{D}_{K(\zeta_n)} = \prod_{\mathfrak{p}} \mathfrak{p}^{\alpha(\mathfrak{p})} \prod_{\mathfrak{p}} \mathfrak{p}^{-\alpha(\mathfrak{p})} = \mathfrak{D}_{K(\zeta_n)}$ forcing that q_j is an algebraic integer for $j \in \{0, \dots, p^n-1\}$. ■

3.2. COROLLARY. *Let $R_\infty = \bigcup_n R_n$ be a nontrivial Γ -extension of R such that R_n has a normal basis for all n ; then there is an isomorphism of Γ -extensions $R_\infty \cong Z_\infty^\omega$ for some $\omega \in \mathbb{Z}_p$.*

Proof. We first consider the case that R_∞ is connected. By Theorem 3.1 there is an R -algebra isomorphism $f_n: R_n \xrightarrow{\sim} Z_n$ for all $n > 0$. Letting $\gamma_n: Z_n \rightarrow Z_n$, $x \mapsto (f_n \circ \tau_n \circ f_n^{-1})(x)$, then $\gamma_n(f_n(x)) = f_n(\tau_n(x))$ for all $x \in R_n$. Since Γ_n is isomorphic to the group of all R -algebra automorphisms of Z_n , it follows that there is $j_n \in \mathbb{Z}$ with $(j_n, p) = 1$ and $\gamma_n = \tau_n^{j_n}$. Let $Z_n(j_n)$ be the R -algebra Z_n endowed with Γ_n -action $\Gamma_n \times Z_n(j_n) \rightarrow Z_n(j_n)$, $(\tau_n, x) \mapsto \tau_n^{j_n}(x)$. Choose $i_n \in \mathbb{Z}$ with $i_n j_n \equiv 1 \pmod{p^n \mathbb{Z}}$. Then $[R_n] = [Z_n(j_n)] = [Z_n]^{i_n}$ in $H(R, \Gamma_n)$ as follows from [7, Theorem 4]. Since p^m is the order of $[Z_m]$ we have $i_n \equiv i_m \pmod{p^m \mathbb{Z}}$ for all $m \leq n$. Thus there is $i \in \mathbb{Z}_p$ with $i_n = i \pmod{p^n \mathbb{Z}_p}$ for all n , and we have an isomorphism $R_\infty \cong Z_\infty^i$ of Γ -extensions by 1.3.

If R_∞ is nonconnected, but nontrivial then $S_\infty := R_\infty Z_\infty$ is connected by 1.1 and since $\varphi: H^1(R, \Gamma) \rightarrow \varprojlim H^1(R, \Gamma_n)$, defined in Section 1, is an isomorphism of groups. As we have shown there is a unit i in \mathbb{Z}_p and an isomorphism $S_\infty \cong Z_\infty^i$ of Γ -extensions. We therefore have $R_\infty \cong Z_\infty^i Z_\infty^{-1} \cong Z_\infty^\omega$ with $\omega = i - 1$. ■

3.3. COROLLARY. *There is a \mathbb{Z}_p -module isomorphism $\mathbb{Z}_p \xrightarrow{\sim} H(R, \Gamma)$, $\omega \mapsto Z_\infty^\omega$.*

Proof. By Theorem 2.1 we have $[Z_\infty]^\omega \in H(R, \Gamma)$, and by 3.2 the map $\mathbb{Z}_p \rightarrow H(R, \Gamma)$, $\omega \mapsto Z_\infty^\omega$, is surjective. It is injective, since $(Z_n^{p^k})^l$ with $k, l \in \mathbb{Z}$ and $(p, l) = 1$ is not trivial for $n > k$. ■

Remark. It is proved in [5] that $|H(R_K, \Gamma_n)| = p^{n(r_2+1)} \cdot O(1)$ for an arbitrary number field K . This result combined with Theorem 2.1 also implies that there is a \mathbb{Z}_p -module isomorphism $H(R_K, \Gamma) \cong \mathbb{Z}_p$ for a totally real field K .

4. THE GROUP $H(R_K, \Gamma)$ FOR A CM-FIELD K

Let K be a complex multiplication field, i.e., K is a totally imaginary quadratic field extension of a totally real field, denoted by K^+ . The Galois group of K over K^+ is generated by an automorphism ι which for each embedding $K \hookrightarrow \mathbb{C}$ coincides with complex conjugation. Write $\iota(\lambda) = \bar{\lambda}$ for $\lambda \in K$. Assume that p is an odd prime, and set

$$R = \mathfrak{O}_K[p^{-1}] \quad \text{and} \quad R^+ = \mathfrak{O}_{K^+}[p^{-1}].$$

For any R -module M define the R -module \bar{M} to be the additive group M equipped with a new R -structure $\lambda \cdot x = \bar{\lambda}x$ for $\lambda \in R$ and $x \in M$.

We define in $H^1(R, \Gamma)$ two \mathbb{Z}_p -submodules

$$\begin{aligned} C(R, \Gamma) &= \{R_\infty \in H^1(R, \Gamma) \mid \bar{R}_\infty = R_\infty\} \quad \text{and} \\ N(R, \Gamma) &= \{R_\infty \in H^1(R, \Gamma) \mid \bar{R}_\infty = R_\infty^{-1}\}. \end{aligned}$$

Since $R_\infty = (R_\infty \cdot \bar{R}_\infty)^{1/2} \cdot (R_\infty \cdot \bar{R}_\infty^{-1})^{1/2}$ for each $R_\infty \in H^1(R, \Gamma)$, one has

$$H^1(R, \Gamma) = C(R, \Gamma) \oplus N(R, \Gamma).$$

It is known that there is a \mathbb{Z}_p -module isomorphism $H^1(R, \Gamma) \cong \mathbb{Z}_p^{r_2+1+\delta}$ for some $\delta = \delta(K) \geq 0$, where r_2 denotes the number of complex places of K . The conjecture $\delta(K) = 0$ is called *Leopoldt's conjecture* [15, Sect. 5.5 and Theorem 13.4].

In this section we first compute the \mathbb{Z}_p -ranks of $C(R, \Gamma)$ and $N(R, \Gamma)$:

$$\mathbb{Z}_p\text{-rank } N(R, \Gamma) = r_2 \quad \text{and} \quad \mathbb{Z}_p\text{-rank } C(R, \Gamma) = 1 + \delta(K^+).$$

We then prove, that the \mathbb{Z}_p -ranks of $N(R, \Gamma)$ and $N(R, \Gamma) \cap H(R, \Gamma)$ are the same, and this yields the existence of a \mathbb{Z}_p -module isomorphism

$$H(R, \Gamma) \cong \mathbb{Z}_p^{r_2+1}.$$

4.1. PROPOSITION. (a) *There is a \mathbb{Z}_p -module isomorphism $H^1(R^+, \Gamma) \simeq C(R, \Gamma)$ given by $R_\infty^+ \mapsto R_\infty^+ \otimes_{R^+} R$.*

(b) Suppose $R_\infty = \bigcup_n R_n$ is a connected Γ -extension of R , and K_n is the quotient field of R_n . If R_∞ lies in $C(R, \Gamma)$ then each K_n is a complex multiplication field.

Proof. The canonical homomorphism $H^1(R^+, \Gamma_n) \rightarrow H^1(R, \Gamma_n)$ is injective and induces an injective \mathbb{Z}_p -module homomorphism $\varphi: H^1(R^+, \Gamma) \rightarrow C(R, \Gamma)$. We are going to show that φ is surjective. Assume $R_\infty = \bigcup_n R_n$ is a connected Γ -extension of $R = R_0$ and there is an isomorphism of Γ_n -extensions $f_n: R_n \rightarrow \bar{R}_n$ for all n . Then there is a Γ_n -linear ring isomorphism

$$f_n: R_n \rightarrow R_n \quad \text{with} \quad f_n(\lambda x) = \tilde{\lambda} f_n(x) \quad \text{for all } \lambda \in R, \quad x \in R_n.$$

Since R_n is connected the group Γ_n is the group of all R -automorphisms of R_n , hence $f_n^2 = \gamma_n$ for some $\gamma_n \in \Gamma_n$. Setting $s_n = \gamma_n^{-(p^n+1)/2}$ and $\iota_n = s_n f_n$ we obtain $\iota_n(\lambda) = \tilde{\lambda}$ for all $\lambda \in R$. Since f_n is Γ_n -linear, we have $\iota_n^2 = id$ and $\iota_n(\tau_n(x)) = \tau_n(\iota_n(x)) = \tau_n(x)$ for all $x \in R_n^+ := R_n^{\langle \iota_n \rangle}$. Thus the group $\Gamma_n = \langle \tau_n \rangle$ acts on R_n^+ as an R^+ -automorphism group, and R_n^+ is a Γ_n -extension of R^+ satisfying $R_n^+ \otimes_{R^+} R \cong R_n$. Passing to the quotient fields we obtain $K_n^+ \otimes_{K^+} K \cong K_n$. Since K is a complex multiplication field this yields (b).

We also obtain a Γ -extension $R_\infty^+ = \bigcup_n R_n^+$ of R^+ with $R_\infty^+ \otimes_{R^+} R \cong R_\infty$. Since $C(R, \Gamma)$ is generated by connected Γ -extensions, φ is surjective, and (a) follows. ■

4.2. COROLLARY. *The \mathbb{Z}_p -rank of $C(R, \Gamma)$ is $1 + \delta(K^+)$, and the \mathbb{Z}_p -rank of $N(R, \Gamma)$ is r_2 .*

Proof. By 4.1(a), we have $\mathbb{Z}_p\text{-rank } C(R, \Gamma) = 1 + \delta(K^+)$. Let $E = E(K)$ be the group of units in \mathfrak{D}_K . Then the index $(E(K): E(K^+))$ is finite by [15, Th. 4.12]. With the notations of [15, p. 265] it follows that the index $(E_1(K): E_1(K^+))$ is finite. Thus the index $(\overline{E_1(K)}: \overline{E_1(K^+)})$ is finite too, since the canonical embedding $\overline{E_1(K^+)} \subset \overline{E_1(K)}$ is continuous. This implies $\mathbb{Z}_p\text{-rank } \overline{E_1(K^+)} = \mathbb{Z}_p\text{-rank } \overline{E_1(K)}$, hence $\delta(K) = \delta(K^+)$ by [15, p. 265]. We now obtain $\mathbb{Z}_p\text{-rank } N(R, \Gamma) = \mathbb{Z}_p\text{-rank } H^1(R, \Gamma) - \mathbb{Z}_p\text{-rank } C(R, \Gamma) = r_2$. ■

4.3. COROLLARY. *Leopoldt's conjecture holds for K if and only if the cyclotomic Γ -field extension is the only Γ -extension $K_\infty = \bigcup_n K_n$ of K such that each K_n is a complex multiplication field.*

Proof. Let $K_\infty = \bigcup_n K_n$ be a Γ -field extension of K such that each K_n is a complex multiplication field. If Leopoldt's conjecture is true for K then $\delta(K) = 0 = \delta(K^+)$, hence K_∞ is the cyclotomic \mathbb{Z}_p -extension of K . Conversely, if K_∞ is the only Γ -extension of K such that each K_n is a complex mul-

tiplication field, then K_∞ is cyclotomic, and \mathbb{Z}_p -rank $C(R, \Gamma) = 1$ by 4.1(b), since $C(R, \Gamma)$ is generated by connected Γ -extensions. Thus 4.2 implies \mathbb{Z}_p -rank $H^1(R, \Gamma) = \mathbb{Z}_p$ -rank $N(R, \Gamma) + \mathbb{Z}_p$ -rank $C(R, \Gamma) = r_2 + 1$. ■

Given two Γ_n -extensions R_n, R'_n of $R = \mathfrak{D}_K[p^{-1}]$ their product $R_n \cdot R'_n$ is defined to be the Γ_n -extension $(R_n \otimes_R R'_n)^{\ker(\mu)}$ with μ being the multiplication map $\Gamma_n \times \Gamma_n \rightarrow \Gamma_n$. This product induces the group structure in $H^1(R, \Gamma_n)$. In particular, R_n^i denotes a representative of the isomorphism class $[R_n]^i \in H^1(R, \Gamma_n)$ for $i \in \mathbb{Z}$.

4.4. THEOREM. *Let $R_\infty = \bigcup_n R_n$ be a Γ -extension of R . If $R_n \cdot \bar{R}_n$ has a normal basis over R for all n there is $s \geq 0$, independent of n , such that $R_n^{p^s}$ has a normal basis over R for all n .*

Before proving Theorem 4.4 we show that Theorem 4.4 yields the following corollary.

4.5. COROLLARY. *There is a \mathbb{Z}_p -module isomorphism $\mathbb{Z}_p^{r_2+1} \cong H(R, \Gamma)$.*

Proof. Let Z_∞ be the cyclotomic Γ -extension of R and Z_∞^+ the cyclotomic Γ -extension of R^+ . It follows from 3.3 that $H(R^+, \Gamma) = \langle Z_\infty^+ \rangle$, thus $H(R, \Gamma) \subset \langle Z_\infty \rangle \oplus N(R, \Gamma)$ by 4.2. Theorems 4.4 and 2.1 imply that there is $t \geq 0$ such that $\langle Z_\infty \rangle \oplus N(R, \Gamma)^{p^t} \subset H(R, \Gamma)$. Corollary 4.2 therefore yields \mathbb{Z}_p -rank $H(R, \Gamma) = r_2 + 1$. ■

The rest of this paper is devoted to the proof of Theorem 4.4.

4.6. LEMMA. *Let \mathfrak{U} be the p -Sylow subgroup of the ideal class group of R , and let \mathfrak{U}^+ be the p -Sylow subgroup of the ideal class group of R^+ . If J denotes the Galois group of K over K^+ , the canonical homomorphism $\varphi: \mathfrak{U}^+ \rightarrow \mathfrak{U}^J$ is bijective.*

Proof. Let A be an ideal in R^+ such that A^{p^m} is a principal ideal for some m . Suppose $AR = (\alpha)$ for some $\alpha \in R$. Since $\alpha\bar{\alpha} \in R^+$ and $A^2R = (\alpha\bar{\alpha})$, unique factorization into primes implies $A^2 = (\alpha\bar{\alpha})$ in R^+ . Thus φ is injective. Let \mathfrak{C} (resp. \mathfrak{C}^+) be the p -Sylow subgroup of the ideal class group of \mathfrak{D}_K (resp. \mathfrak{D}_{K^+}). Then $|\mathfrak{C}^J| = |\mathfrak{C}^+|$ by [12, Lemma 4.1, p. 64], since $p \neq 2$. Thus the canonical homomorphism $\mathfrak{C}^+ \rightarrow \mathfrak{C}^J$ is bijective, and hence φ is surjective. Lemma 4.2 also follows from [8, Lemma 23]. ■

Viewing a Γ_n -extension of R as a module over the group ring $R[\Gamma_n]$ yields a homomorphism α from $H^1(R, \Gamma_n)$ to $\text{Pic}(R[\Gamma_n])$, the group of isomorphism classes of rank-one projective $R[\Gamma_n]$ -modules, and the sequence

$$1 \rightarrow H(R, \Gamma_n) \rightarrow H^1(R, \Gamma_n) \xrightarrow{\alpha} \text{Pic}(R[\Gamma_n])$$

is an exact sequence of groups [4, Theorem 2]. Any ring homomorphism $f: S \rightarrow S'$ induces a homomorphism from $\text{Pic}(S)$ to $\text{Pic}(S')$ denoted by f^* .

Since R is a Dedekind domain we may identify $\text{Pic}(R)$ with the ideal class group of R .

Let μ_{p^n} be the group of p^n th roots of unity in a fixed separable closure of K .

The idea of the proof of 4.4 is the following: Given a Γ -extension $R_\infty = \bigcup_n R_n$ of R with $R_n \cdot \bar{R}_n$ having a normal basis over R , we construct a special sequence $I_n \in \bigcup_{k=0}^n \text{Pic}(R(\mu_{p^k}))$, $n \geq 0$, such that each I_n has the same order as $\alpha(R_n)$. We then show in Proposition 4.8 below that there is $s \geq 0$ with $I_n^{p^s} = 1$ for all n .

As before, let n_0 be maximal such that $\mu_{p^{n_0}}$ lies in $K(\mu_p)$. Then $K_\infty = \bigcup_n K_n$ with $K(\mu_{p^{n+n_0}})$ is the cyclotomic \mathbb{Z}_p -extension of $K_0 = K(\mu_p)$, and each K_n is a complex multiplication field. Set

$$Z_n = \mathfrak{D}_{K_n}[p^{-1}] \quad \text{and} \quad Z_n^+ = \mathfrak{D}_{K_n^+}[p^{-1}]$$

for all $n \geq 0$ and $p \neq 2$. Let $\Gamma_n = \langle \tau_n \rangle$ be the Galois group of K_n over K_0 .

4.7. LEMMA. *Let \mathfrak{U}_n be the p -Sylow subgroup of $\text{Pic}(Z_n)$, and let $\mathfrak{B}_n = \{J_n \in \mathfrak{U}_n \mid \tau_n^*(J_n) = J_n \text{ and } J_n \cdot \bar{J}_n = 1\}$. Then there is an integer $s \geq 0$, independent of n , such that $|\mathfrak{B}_n| \leq p^s$ for all $n \geq 0$.*

Proof. Let $J_n \in \mathfrak{B}_n$. Then $J_n \in \mathfrak{U}_n^{f_n}$ and $J_n \notin \mathfrak{U}_n^J$ where J is the Galois group of K_n over K_n^+ . Choose an integer m_0 such that every prime ideal in $\mathfrak{D}_{K_{m_0}}$ lying above $p\mathbb{Z}$ is totally ramified in K_∞ [8, Sect. 6, Lemma 4]. Set $C_n = \mathfrak{I}_n/P_n$, where \mathfrak{I}_n is the group of all fractional ideals of Z_n and P_n is the subgroup of all principal fractional ideals. We are going to prove $|\mathfrak{B}_n| \leq |C_{m_0}|$, and this will prove the lemma.

Let $n > m_0$, and define $G = \Gamma_n^{p^{m_0}}$. The exact sequence

$$0 \rightarrow P_n \rightarrow \mathfrak{I}_n \rightarrow C_n \rightarrow 0$$

of G -modules induces an exact sequence

$$0 \rightarrow P_n^G \rightarrow \mathfrak{I}_n^G \rightarrow C_n^G \rightarrow H^1(G, P_n) \rightarrow 0,$$

since $H^1(G, \mathfrak{I}_n) = 0$ by [12, p. 64]. Thus $|C_n^G| = (\mathfrak{I}_n^G : P_n^G) \cdot |H^1(G, P_n)|$. Since K_n is unramified at all primes not lying above $p\mathbb{Z}$, the canonical homomorphism $\mathfrak{I}_{m_0} \rightarrow \mathfrak{I}_n^G$ is bijective and induces a surjective homomorphism $C_{m_0} \rightarrow \mathfrak{I}_n^G/P_n^G$, hence $(\mathfrak{I}_n^G : P_n^G) \leq |C_{m_0}|$ and $|C_n^G| \leq |C_{m_0}| \cdot |H^1(G, P_n)|$.

Let C_n^+ be the ideal class group of Z_n^+ . Then $|C_n^{+G}| \geq |H^1(G, P_n^+)|$. We therefore obtain $|C_n^G|/|C_n^{+G}| \leq |C_{m_0}| \cdot h_1$ with $h_1 = |H^1(G, P_n)|/|H^1(G, P_n^+)|$.

Recall, that \mathcal{U}_n is the p -Sylow subgroup of C_n . Since $J_n \in \mathcal{U}_n^G$ and $J_n \notin \mathcal{U}_n^J$ for all $J_n \in \mathfrak{B}_n$, and since $\mathcal{U}_n^J \cong \mathcal{U}_n^+$ by 4.6, we obtain

$$|\mathfrak{B}_n| \leq |C_{m_0}| \cdot h_1.$$

It remains to show $h_1 = 1$.

By the choice of m_0 we have $\sigma(\mathfrak{p}) = \mathfrak{p}$ for all $\sigma \in G$ and all prime ideals \mathfrak{p} in \mathfrak{O}_{K_n} lying above $p\mathbb{Z}$. Hence $P_{K_n} = P_n \oplus P_n^{(p)}$ as G -modules, where P_{K_n} is the group of all principal fractional ideals of \mathfrak{O}_{K_n} and $P_n^{(p)}$ is the group of principal fractional ideals of \mathfrak{O}_{K_n} lying over p . Since G acts trivially on $P_n^{(p)}$ and $P_n^{(p)}$ is free, $H^1(G, P_n^{(p)}) = 0$ so

$$H^1(G, P_n) = H^1(G, P_{K_n}).$$

Let E_n be the group of units in \mathfrak{O}_{K_n} , and let μ be the group of all roots of unity in K_n . We then have

$$\begin{aligned} |H^1(G, P_n)| &= |(N_G(K_n) \cap E_{m_0})/N_G(E_n)| && \text{by [12, p. 66]} \\ &\leq 2 |(N_G(K_n) \cap \mu E_{m_0}^+)/N_G(\mu E_n^+)| && \text{by [15, Th. 4.12]} \\ &= 2 |(N_G(K_n) \cap E_{m_0}^+)/N_G(E_n^+)|, \end{aligned}$$

since each root of unity is the norm of a root of unity. Suppose, $x \in N_G(K_n) \cap E_{m_0}^+$, and write $x = N_G(y)$ with $y \in K_n$. Then, setting $z = x^{-1}(y\bar{y})^{(|G|+1)/2}$, we obtain $z \in K_n^+$ and $N_G(z) = x$, hence $N_G(K_n) \cap E_{m_0}^+ = N_G(K_n^+) \cap E_{m_0}^+$. By [12, p. 66], $|(N_G(K_n^+) \cap E_{m_0}^+)/N_G(E_n^+)| = |H^1(G, P_n^+)|$, so we have proved $h_1 \leq 2$. Since $x^{1/|G|} \in N_G(E_n^+)$ for all $x \in N_G(K_n^+) \cap E_{m_0}^+$ the group $H^1(G, P_n^+)$ is a p -group by [12, p. 66], and similarly, $H^1(G, P_n)$ is a p -group. This now yields $h_1 = 1$. ■

4.8. PROPOSITION. Assume $K_\infty = \bigcup_{n \geq 0} K_n$ with $K_n = K(\mu_{p^n + n_0})$, and $Z_n = \mathfrak{O}_{K_n}[p^{-1}]$. Let \mathcal{U}_n be the p -Sylow subgroup of $\text{Pic}(Z_n)$. Define $\rho \in \text{Gal}(K_\infty/K_0)$ via $\rho(\zeta) = \zeta^{p^{s_0} + 1}$ for all p -power roots of unity ζ . Then there is $s \geq 0$ such that every $I \in \bigcup_{k \geq 0} \mathcal{U}_k$, which satisfies $I = \bar{I}$ and $\rho^*(I) = I^{p^{s_0} + 1}$, satisfies $I^{p^s} = 1$. The integer s is independent of I .

Proof. Define $\mathfrak{B}' = \{I \in \bigcup_{k \geq 0} \mathcal{U}_k \mid I = \bar{I} \text{ and } \rho^*(I) = I^{p^{s_0} + 1}\}$.

Suppose, for each $s \geq 0$ there is $I(s) \in \mathfrak{B}'$ such that $I(s)^{p^s} \neq 1$. We have $I(s) \in \mathcal{U}_{k(s)}$ for some $k(s) \geq 0$. Since $\mathcal{U}_{k(s)}$ is a finite group, $k(s)$ cannot be constant, independent of s . So we may assume that there is a sequence $I_n \in \mathcal{U}_n \cap \mathfrak{B}'$, $n \in \mathbb{N}$, such that for each $s \geq 0$ there is $m = m(s)$ with $I_m^{p^s} \neq 1$.

Let $\rho^+ \in \text{Gal}(K_n^+/K_0^+)$ be the image of ρ under the canonical isomorphism $\text{Gal}(K_n^+/K_0^+) \cong \text{Gal}(K_n^+/K_0^+)$. Since $I_n = \bar{I}_n$, Lemma 4.6 implies that there is $I_n^+ \in \mathcal{U}_n^+$ satisfying

$$\rho^+ * (I_n^+) = (I_n^+)^{p^{s_0} + 1} \quad \text{and} \quad (I_{m(s)}^+)^{p^s} \neq 1 \quad \text{for all } s \geq 0. \quad (*)$$

We denote both Galois groups $\text{Gal}(K_n^+/K_0^+)$ and $\text{Gal}(K_n/K_0)$ by the same letter Γ_n .

Let L_n^+ be the maximal abelian, unramified p -extension of K_n^+ in which every prime of K_n^+ lying above $p\mathbb{Z}$ is completely decomposed. L_n^+ is a Galois extension of K_0^+ by the maximality of L_n^+ and the Γ_n -invariance of the set of all prime ideals of K_n^+ lying over p . The Galois group $\Gamma_n = \text{Gal}(K_n^+/K_0^+)$ acts on the Galois group $\text{Gal}(L_n^+/K_n^+)$: let $\tau \in \Gamma_n$; extend to $\tilde{\tau} \in \text{Gal}(L_n^+/K_0^+)$ and set $\sigma^\tau = \tilde{\tau}\sigma\tilde{\tau}^{-1}$ for all $\sigma \in \text{Gal}(L_n^+/K_n^+)$.

The group Γ_n also acts on \mathcal{U}_n^+ , the p -Sylow subgroup of $\text{Pic}(Z_n^+)$. The isomorphism of class field theory $\mathcal{U}_n^+ \simeq \text{Gal}(L_n^+/K_n^+)$, induced by the Artin map, is an isomorphism of Γ_n -modules [8, p. 261; 15, p. 339]. Now it follows from (*) that there is a sequence $g_n \in \text{Gal}(L_n^+/K_n^+)$ such that

$$g_n^{\rho^+} = g_n^{\rho^0+1} \quad \text{and} \quad g_{m(s)}^{\rho^s} \neq 1 \quad \text{for all } s \geq 0. \quad (\dagger)$$

From (\dagger) we get the following

ASSERTION 1. *The field L_n^+ contains an extension D_n^+ of K_n^+ whose Galois group is a Γ_n -module such that $\sigma^{\rho^+} = \sigma^{\rho^0+1}$ and $\sigma^{\rho^n} = 1$ for all $\sigma \in \text{Gal}(D_n^+/K_n^+)$. Furthermore, for each $s \geq 0$ there is $m = m(s)$ with $|\text{Gal}(D_m^+/K_m^+)| \geq p^s$, and D_n^+ is a Galois extension of K_0^+ .*

Proof of Assertion 1. Let $V_n = \text{Gal}(L_n^+/K_n^+)$. Consider the homomorphism $\alpha_n: V_n \rightarrow V_n$, $v \mapsto v^{\rho^0+1}(v^{\rho^+})^{-1}$ and set $W_n = \alpha_n(V_n)$. Letting D_n^+ be the fixed field of $W_n \cdot V_n^{\rho^n}$ in L_n^+ , it is easily checked that Assertion 1 follows from the definition of W_n and from (\dagger).

We are going to prove that Assertion 1 implies the following

ASSERTION 2. *Let \mathfrak{B}_n be defined as in Lemma 4.7. There is a sequence of subgroups \mathfrak{D}_n in \mathfrak{B}_n , $n \in \mathbb{N}$, with the property: For each $s \geq 0$ there is $m = m(s)$ with $|\mathfrak{D}_m| \geq p^s$.*

Since Assertion 2 contradicts Lemma 4.7, the proof of Assertion 2 will finish the proof of Proposition 4.8.

Proof of Assertion 2. Let $D_n = D_n^+(\mu_p)$, where D_n^+ is defined as in Assertion 1. Then $(D_n: D_n^+) = 2$, and D_n is a complex multiplication field. The Galois group G_n of D_n over $K_n = K_n^+(\mu_p)$ is of exponent dividing p_n by Assertion 1. Let $\hat{G}_n = \text{Hom}(G_n, Z_n^*)$, where Z_n^* denotes the units of Z_n . Then $\hat{G}_n \cong G_n$ since Z_n is connected. Since D_n is unramified over K_n the ring $A_n := \mathfrak{D}_{D_n}[p^{-1}]$ is a Galois ring extension of Z_n with Galois group G_n [1, Remark 1.5(d)]. For each $\chi \in \hat{G}_n$ the set

$$I_{n,\chi} = \{a \in A_n \mid \sigma(a) = \chi(\sigma)a \forall \sigma \in G_n\}$$

is a rank-one projective Z_n -module satisfying $I_{n,\chi} \cdot I_{n,\psi}$ for $\chi, \psi \in \hat{G}_n$ by [2, Theorem 1]. Therefore, $\mathfrak{I}_n = \{I_{n,\chi} \mid \chi \in \hat{G}_n\}$ is a group of order $|G_n|$.

Let ρ be defined as in 4.8 and $\rho^*(I_{n,\chi}) = I_{n,\chi} \otimes_{Z_n} \rho_*(Z_n)$, where $\rho_*(Z_n)$ is Z_n considered as a (Z_n) -module via ρ . We are going to show $I_{n,\chi} = I_{n,\chi}^{-1}$ and $\rho^*(I_{n,\chi}) \cong I_{n,\chi}$ for all $\chi \in \hat{G}_n$. Since D_n is a complex multiplication field and since $\chi(\sigma)$ is a root of unity, we have

$$\begin{aligned} \overline{I_{n,\chi}} &= \{a \in A_n \mid \sigma(\bar{a}) = \chi(\sigma) \bar{a} \forall \sigma \in G_n\} = \{a \in A_n \mid \overline{\sigma(\bar{a})} = \overline{\chi(\sigma) \bar{a}} \forall \sigma \in G_n\} \\ &= \{a \in A_n \mid \sigma(a) = \chi(\sigma)^{-1} a \forall \sigma \in G_n\} = I_{n,\chi^{-1}} = I_{n,\chi}^{-1}. \end{aligned}$$

By Assertion 1 there is an extension $\tilde{\rho} \in \text{Gal}(D_n/K_0)$ of ρ satisfying $\tilde{\rho}\sigma\tilde{\rho}^{-1} = \sigma^{p^{r_0}+1}$ for all $\sigma \in G_n$. The map $\tilde{\rho}(I_{n,\chi}) \rightarrow \rho^*(I_{n,\chi})$, $a \mapsto \tilde{\rho}^{-1}(a) \otimes 1$, is a Z_n -module isomorphism with inverse defined by $a \otimes z \mapsto \tilde{\rho}(a)z$ for $a \in I_{n,\chi}$, $z \in Z_n$. We therefore obtain

$$\begin{aligned} \rho^*(I_{n,\chi}) &\cong \{a \in A_n \mid \sigma(\tilde{\rho}^{-1}(a)) = \chi(\sigma) \tilde{\rho}^{-1}(a) \forall \sigma \in G_n\} \\ &= \{a \in A_n \mid (\tilde{\rho}\sigma\tilde{\rho}^{-1})(a) = \tilde{\rho}(\chi(\sigma)) a \forall \sigma \in G_n\} \\ &= \{a \in A_n \mid \sigma^{p^{r_0}+1}(a) = \chi(\sigma) a \forall \sigma \in G_n\} = I_{n,\chi}. \end{aligned}$$

We now consider the group homomorphism

$$\beta_n: \mathfrak{I}_n \rightarrow \text{Pic}(Z_n), \quad I_{n,\chi} \mapsto [I_{n,\chi}],$$

where $[I_{n,\chi}]$ denotes the isomorphism class of $I_{n,\chi}$. We have shown that $\mathfrak{D}_n := \beta_n(\mathfrak{I}_n)$ is a subgroup of \mathfrak{B}_n . Since $|\mathfrak{I}_n| = |G_n|$ for all n , there is $m = m(s)$ with $|\mathfrak{I}_m| \geq p^s$ for each $s \geq 0$ by Assertion 1. Showing that there is $r \geq 0$, r independent of n , with $|\ker(\beta_n)| \leq p^r$ for all n , will thus prove Assertion 2.

Choose an integer m_0 such that every prime ideal in $\mathfrak{D}_{K_{m_0}}$ lying above $p\mathbb{Z}$ is totally ramified in K_∞ [8, Sect. 6, Lemma 4]. It suffices to show $|\ker(\beta_n)| \leq p^r$ for all $n \geq m_0$. We have

$$\ker(\beta_n) = \{I_{n,\chi} \in \mathfrak{I}_n \mid I_{n,\chi} = Z_n u_\chi \text{ for some } u_\chi \in A_n\}.$$

Let $p^{l(\chi)}$, with $0 \leq l(\chi) \leq n$, be the order of $\chi \in \hat{G}_n$. If $I_{n,\chi} = Z_n u_\chi$ for some $u_\chi \in A_n$ then setting $a_\chi = u_\chi^{p^{l(\chi)}}$ we have $\sigma(a_\chi) = (\chi(\sigma) u_\chi)^{p^{l(\chi)}} = a_\chi$, for all $\sigma \in G_n$, hence $a_\chi \in Z_n$. Since $I_{n,\chi}^{p^{l(\chi)}} = Z_n = Z_n a_\chi$ we get $a_\chi \in Z_n^*$, and $K_n(u_\chi)$ is a cyclic extension of K_n of degree $p^{l(\chi)}$. Setting $\alpha_\chi = a_\chi \bar{a}_\chi^{-1}$ we obtain

$$\alpha_\chi \in Z_n, \quad \alpha_\chi \alpha_\chi = 1, \quad K_n(u_\chi) = K_n(v_\chi) \quad \text{with} \quad v_\chi^{p^{l(\chi)}} = \alpha_\chi, \quad (\dagger\dagger)$$

since u_χ and \bar{u}_χ^{-1} both generate $I_{n,\chi}$ because of $\overline{I_{n,\chi}} = I_{n,\chi}^{-1}$.

Choose a sequence $u_\chi \in A_n$, $\chi \in G_n$, such that $\ker(\beta_n) = \{Z_n u_\chi\}$. Let L_n''

be the compositum in D_n of the fields $K_n(u_\chi)$, and let H_n be the Galois group of L_n'' over K_n . Then $\bigoplus_\chi K_n \cdot u_\chi \subset L_n''$ [2, Cor. 2], hence

$$|\ker(\beta_n)| = \dim_{K_n} \left(\bigoplus_\chi K_n \cdot u_\chi \right) \leq \dim_{K_n}(L_n'') = |H_n|.$$

Since L_n'' is unramified over K_n and since K_∞ is totally ramified at all primes of K_n lying above $p\mathbb{Z}$, we have $\dim_{K_\infty}(K_\infty L_n'') = |H_n|$ for all $n \geq m_0$. By construction, the field $K_\infty L_n''$ lies in the maximal abelian unramified p -extension of K_∞ in which every prime of K_∞ lying above $p\mathbb{Z}$ is completely decomposed. Now it follows from $(\dagger\dagger)$ and [8, Lemma 26] that there is $r \in \mathbb{N}$, r independent of n , such that $|H_n| \leq p^r$ for all n . ■

We define for each $i \in \mathbb{Z}$ an R -algebra homomorphism

$$\hat{v}_i: R[\Gamma_n] \rightarrow R[\Gamma_n] \quad \text{via } \hat{v}_i(\tau_n) = \tau_n^i.$$

4.9. LEMMA. *If $A \in \text{Pic}(R[\Gamma_n])$ is in the image of $\alpha: H^1(R, \Gamma_n) \rightarrow \text{Pic}(R[\Gamma_n])$, then $\hat{v}_i^*(A) = A^i$ for all $i > 0$.*

Proof. Consider the three homomorphisms ε_1 , ε_2 , and Δ from $R[\Gamma_n]$ to $R[\Gamma_n \times \Gamma_n]$ defined by $\varepsilon_1(\tau_n) = (1, \tau_n)$, $\varepsilon_2(\tau_n) = (\tau_n, 1)$, and $\Delta(\tau_n) = (\tau_n, \tau_n)$. Then $\varepsilon_1^*(A) \cdot \varepsilon_2^*(A) = \Delta^*(A)$ since A is in the image of α [3, Corollary 1.3].

Consider homomorphisms f_i from $R[\Gamma_n \times \Gamma_n]$ to $R[\Gamma_n]$ defined by $f_i(\tau_n^k, \tau_n^l) = \tau_n^{k+i+l}$ for $i > 0$. We then have $f_i^*(\varepsilon_1^*(A)\varepsilon_2^*(A)) = f_i^*(\varepsilon_1^*(A))f_i^*(\varepsilon_2^*(A)) = f_i^*(\Delta^*(A))$ and $\hat{v}_i^*(A)A = \hat{v}_{i+1}^*(A)$ for all $i > 0$. This implies $A^2 = \hat{v}_2^*(A)$ when $i = 1$. If $A^i = \hat{v}_i^*(A)$ for $i \geq 1$ then $A^{i+1} = \hat{v}_i^*(A)A = \hat{v}_{i+1}^*(A)$. ■

We are now ready for the proof of Theorem 4.4:

4.4. THEOREM. *Let $R_\infty = \bigcup_n R_n$ be a Γ -extension of R . If $R_n \cdot \bar{R}_n$ has a normal basis over R for all n there is $s \geq 0$, independent of n , such that $R_n^{p^s}$ has a normal basis over R for all n .*

Proof. Write A_n (resp. \bar{A}_n) for the images of R_n (resp. \bar{R}_n) in $\text{Pic}(R[\Gamma_n])$. We then have $A_n \bar{A}_n = 1$ for all n by assumption.

Let μ_{p^k} be the group of p^k th roots of unity in a fixed separable closure K^{sep} of K , and let n_0 be maximal such that $\mu_{p^{n_0}}$ lies in $K(\mu_p)$. Each connected component of $R[\Gamma_n]$ is isomorphic to $R(\mu_{p^k})$ for some k with $0 \leq k \leq n$. Hence there is $k \geq 0$ and a homomorphism

$$\psi_{k,n}: R[\Gamma_n] \rightarrow R(\mu_{p^k})$$

inducing a homomorphism $\psi_{k,n}^*: \text{Pic}(R[\Gamma_n]) \rightarrow \text{Pic}(R(\mu_{p^k}))$ such that $I_{k,n} := \psi_{k,n}^*(A_n)$ has the same order as A_n .

Complex conjugation ι induces a homomorphism

$$\iota^*: \text{Pic}(R(\mu_{p^k})) \rightarrow \text{Pic}(R(\mu_{p^k})), \quad [M] \mapsto [\bar{M}] =: [\overline{M}].$$

We now show $I_{k,n} = \bar{I}_{k,n}$. Let ζ_k be a primitive p^k th root of unity. There is i such that $\psi_{k,n}(\tau_n) = \zeta_k^i$. For $x = \sum_j a_j \tau_n^{-j} \in R[I_n]$ we have

$$\overline{\psi_{k,n}(x)} = \overline{\sum_j a_j \zeta_k^{-ij}} = \sum_j \bar{a}_j \zeta_k^{ij} = \psi_{k,n}(\hat{v}_{-1}(\bar{x})) = \psi_{k,n}(\hat{v}_{p^n-1}(\bar{x})).$$

This implies, using Lemma 4.9 and the equation $A_n \bar{A}_n = 1$,

$$\bar{I}_{k,n} = \overline{\psi_{k,n}^*(A_n)} = \psi_{k,n}^*(\hat{v}_{p^n-1}(\bar{A}_n)) = \psi_{k,n}^*(\bar{A}_n^{p^n-1}) = \psi_{k,n}^*(\bar{A}_n^{-1}) = I_{k,n}.$$

Define $\rho: R(\mu_{p^k}) \rightarrow R(\mu_{p^k})$ such that

$$\rho(\zeta_k) = \zeta_k^{p^{n_0}+1}.$$

Then $(\rho \circ \psi_{k,n})(x) = \rho(\sum_j a_j \zeta_k^{-ij}) = \sum_j a_j \zeta_k^{-ij(p^{n_0}+1)} = \psi_{k,n}(\hat{v}_{p^{n_0}+1}(x))$, hence $\rho^*(I_{k,n}) = \rho^*(\psi_{k,n}^*(A_n)) = (\rho \circ \psi_{k,n})^*(A_n) = \psi_{k,n}^*(A_n^{p^{n_0}+1}) = I_{k,n}^{p^{n_0}+1}$ by 4.9. By Proposition 4.8 there is $s \geq 0$, independent of n and k , with $I_{k,n}^{p^s} = 1$. Since A_n has the same order as $I_{k,n}$ we have $A_n^{p^s} = 1$. Therefore $R_n^{p^s}$ has a normal basis over R for all n . ■

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